



CERTIFICATE OF MAILING VIA EXPRESS MAIL

PURSUANT TO 37 C.F.R. § 1.10, I HEREBY CERTIFY THAT I HAVE A REASONABLE BASIS FOR BELIEF THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL "POST OFFICE TO ADDRESSEE," ON THE DATE INDICATED BELOW AND IS ADDRESSED TO:

MAIL STOP AMENDMENT
HONORABLE COMMISSIONER FOR PATENTS
P.O. Box 1450
ALEXANDRIA, VA 22313-1450.

RONALD L. CHICHESTER

REG. No. 36,765

APRIL 6, 2005

DATE OF MAILING

EV590025126US

EXPRESS MAIL LABEL

U.S.S.N.:	10/028,235
FILING DATE:	DECEMBER 21, 2001
APPLICANT:	BASHEN, ET AL.
GROUP ART UNIT:	2143
EXAMINER:	JERRY B. DENNISON
ATTORNEY DOCKET NO.	068635.0108
TITLE:	"METHOD, APPARATUS AND SYSTEM FOR PROCESSING COMPLIANCE ACTIONS OVER A WIDE AREA NETWORK

INCLUDED IN THIS MAILING FOR THE ABOVE-REFERENCED PATENT APPLICATION ARE:

1. RESPONSE TO NON-FINAL OFFICE ACTION, MAILED MARCH 25, 2005; AND
2. RETURN POSTCARD TO ACKNOWLEDGE RECEIPT OF ABOVE ITEM.

ATTORNEY CONTACT:	RONALD L. CHICHESTER
	REG. No. 36,765
	BAKER BOTTS L.L.P. (023640)
	TELEPHONE: 713.229.1341
	FACSIMILE: 713.229.7741
	EMAIL: RONALD.CHICHESTER@BAKERBOTTS.COM

PTO CUSTOMER NUMBER LABEL: **023640**



590025126 US

Mailing Label
Label 11-F, April 2004

UNITED STATES POSTAL SERVICE®

Post Office To Addressee

ORIGIN (POSTAL SERVICE USE ONLY)

Date Accepted Mo. Day Year	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day	Postage \$	
	Scheduled Date of Delivery Month Day	Return Receipt Fee \$	
Time Accepted <input type="checkbox"/> AM <input type="checkbox"/> PM	Scheduled Time of Delivery Month Day	COD Fee \$	Insurance Fee \$
	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Total Postage & Fees \$	
Flat Rate <input type="checkbox"/> or Weight lbs. ozs.	Int'l Alpha Country Code	Acceptance Emp. Initials	

DELIVERY (POSTAL SERVICE USE ONLY)

Delivery Attempt Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Delivery Attempt Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Delivery Date Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature

☐ WAIVER OF SIGNATURE (Domestic Mail Only) Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent. If delivery employee judges that article can be left in secure location and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday

Customer Signature

CUSTOMER USE ONLY
METHOD OF PAYMENT:

Express Mail Corporate Acct. No.

Federal Agency Acct. No. or
Postal Service Acct. No.

FROM (PLEASE PRINT) PHONE 713 229 1234
R. Chickles - 4516
BAKER BOTTS LLP
ONE SHELL PLAZA
910 LOUISIANA ST
HOUSTON TX 77002-4914

TO: (PLEASE PRINT) PHONE 800 786 9199
MAIL STOP Amendment
COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA VA 22313-1450

068635.0108

FOR PICKUP OR TRACKING: Visit www.usps.com or Call 1-800-222-1811

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case. COMMISSIONER FOR PATENTS
Due Date: 4.25.2005
Express Mail Label No. EV590025126US

Papers filed herewith on: 4.6.2005
DOCKET NO.: 068635.0108
ATTY/SEC: RCL/pdr
APPLICANT(S): (300) et al.
USSN: 19028235 FILED: 12.21.2001
PAT NO.: N/A

☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.114(RCE)
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Specification Consisting of: _____ pages
☐ Declaration
☐ Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings - Sheets ☐ Formal ☐ Informal ☐ Red-ink
☐ Priority Document(s) _____
☐ Amendment:
☐ Transmittal ☐ Large Entity ☐ Small Entity
☒ Response to NCOA mailed 3.25.2005
☐ Information Disc Simult. PTO-1449(s) _____ ref(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
FEES: _____
Other: _____

BEST AVAILABLE COPY



4-7-05

2143

EV590025126US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **BASHEN, ET AL.** §
Serial No.: **10/028,235** §
Filed: **December 21, 2001** §
Title: **"Method, Apparatus and System for Processing Compliance Actions Over a Wide Area Network"** §
Group Art Unit: **2143** §
Examiner: **Jerry B. Dennison** §
Docket No.: **068635.0108** §

Honorable Commissioner for Patents
MAIL STOP AMENDMENT
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING VIA EXPRESS MAIL

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED BELOW, ADDRESSED TO:

HONORABLE COMMISSIONER FOR PATENTS
MAIL STOP AMENDMENT
P.O. Box 1450
ALEXANDRIA, VA 22313-1450

Ronald L. Chichester
RONALD L. CHICHESTER REG. No. 36,765

MAILING DATE: APRIL 6, 2005
EXPRESS MAIL LABEL: EV590025126US

RESPONSE UNDER 37 C.F.R. §1.111 TO
NON-FINAL OFFICE ACTION, MAILED MARCH 25, 2005

Dear Sir:

In response to the Office Action mailed March 25, 2005, Applicants respectfully submit the remarks and amendments set forth below and request favorable action thereon. The amendments are formatted and presented in accordance with the Revised Format for Amendments promulgated earlier in 2003 by the U.S. Patent and Trademark Office.

As the one-month shortened statutory period for reply is due April 25, 2005, this Response is considered timely filed.

AMENDMENTS

Amendments to the Claims are reflected in the "PENDING CLAIMS AND STATUS THEREOF" which begins on page 3 of this Response.

Remarks begin on page 47 of this Response.